

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 026083/0201

In re patent application of
KONTERMANN *et al.*
Serial No. 09/288,719
Filed: April 9, 1999



Group Art Unit 1641
Examiner: Unassigned

For: SINGLE-CHAIN MULTIPLE ANTIGEN-BINDING MOLECULE ITS PREPARATION
AND USE

RECEIVED

OCT 25 1999

SUPPLEMENTAL INFORMATION DISCLOSURE TECH CENTER 1600/2900
STATEMENT UNDER 37 CFR 1.56

Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

Submitted herewith on Form PTO-1449 is a listing in order to comply with
Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of said listed document is
being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not
intended as an admission that such document constitutes prior art against the claims of the
present application or that such document is considered material to patentability as defined
in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be
appropriate to antedate or otherwise remove as a competent reference any document which
is determined to be a prima facie prior art reference against the claims of the present
application.

CONCISE EXPLANATION OF
RELEVANCE OF EACH DOCUMENT

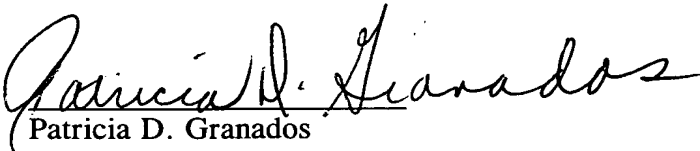
This reference relates to a minibody engineered which is an anti-carcinoembryonic antigen antibody fragment (single-chain Fv-C_H3 which exhibits rapid, high-level targeting of xenografts.

The listed document is being submitted in compliance with 37 C.F.R. §1.97(b), before the mailing of the first Office action on the merits.

Applicant respectfully request that the listed document be considered by the Examiner and formally be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Oct. 21, 1999
Date


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